

Ref: FOIA Reference 2019/20-368

Royal Stoke University Hospital

Quality, Safety and Compliance Department Newcastle Road Stoke-on-Trent Staffordshire ST4 6QG

Date: 16<sup>th</sup> October 2019

Email foi@uhnm.nhs.uk

Dear

I am writing in response to your email dated 25<sup>th</sup> September 2019 requesting information under the Freedom of Information Act (2000) regarding Ophthalmology.

I can neither confirm nor deny whether the information you have requested is held by the Trust in its entirety. This is because the information requested in question 17 is not held centrally, but may be recorded in individual health records. In order to confirm whether this information is held we would therefore have to individually access all individual health records within the Trust and extract the information where it is present. We therefore estimate that complying with your request is exempt under section 12 of the FOI Act: *cost of compliance is excessive*. The section 12 exemption applies when it is estimated a request will take in excess of 18 hours to complete. We estimate that accessing and reviewing all individual health records and then extracting relevant information would take longer than the 18 hours allowed for.

In addition to the section 12 exemption the Trust is also applying section 14 (1) exemption: *oppressive burden on the authority* 

Under section 16 of the FOI Act we are required to provide requestors with advice and assistance where possible. We would therefore like to advise you that if your request is shortened to just the questions that we are able to comply within the 18 hour time frame. In order to avoid delay to your response we have provided this below.

On 7<sup>th</sup> October 2019 we contacted you via email as we required clarification on question 15 and whether this was targeted to Ophthalmology as per the rest of your request.

On 14<sup>th</sup> October 2019 you replied via email the following:

'Do you currently have a managed equipment service within your NHS Trust?' <u>is targeted at</u> <u>Ophthalmology</u>. Please confirm whether your Trust currently has a managed service provision for machinery or service. Please confirm which speciality".

As of 1<sup>st</sup> November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

Q1 Please find below questions I would like to have answered via Freedom of Information (FOI) for Royal Stoke University Hospital.

The suggested relevant/responsible individuals in your hospital/Trust are likely to be:

- Procurement Officer for Ophthalmology







### - Director/Clinical Manager for Ophthalmology

- Clinical Director of Cataract Services

FOI request:

## • When is the current contract for IOLs (Intra ocular lenses) in Ophthalmology up for renewal?

- A1 UHNM Intra-ocular Lens Contract expires 31st October 2020
- Q2 Who is your current IOL provider?
- A2 UHNM IOL Provider is Alcon
- Q3 Please provide annual usage in the following years: 2015-2016, 2016-2017, 2017-2018, 2018 year to date
- A3 Please see attached sheet with usage requirements on. Tabs detailed for each year. 2015-16 information not held.
- Q4 Please provide annual spend in the following years: 2015-2016, 2016-2017, 2017-2018, 2018 year to date
- A4 Please see below:
  - 2015-16 Information not held
  - 2016-17 £193,167.62 + VAT
  - 2017-18 £186,129.60 + VAT
  - 2018-19 (Nov –Oct) £164,561.00 + VAT
- Q5 In relation to IOLS what is your Route to Market /OJEU reference and contractual commitments including extension options?
- A5 Awarded via Health Trust Europe Ophthalmology Framework REF: H.T.E-00584.5 No extension options
- Q6 When is your Phaco machinery (for cataracts) contract up for renewal?
- A6 The Phaco Contract also expires on 31st October 2020
- Q7 Who is your current provider of the Phaco machinery?
- A7 Current provider of Phaco Machinery is Bausch and Lomb
- Q8 How many Phaco machines do you have and what is the age of these machines?
- A8 UHNM has three Phaco machines, 2 machines are 10 years old and one is 4 years old.
- Q9 In relation to Phaco machines what is your Route to Market /OJEU reference and contractual commitments including extension options?
- A9 Awarded via NHS SBS Ophthalmology Framework Ref: SBS/15/EQ/FQB/8812/05







#### Q10 When is your VR (Vit-Ret) machinery contract up for renewal?

- A10 The VR (Vit-Ret) machinery contract is up for renewal in 2020.
- Q11 Who is your current provider of the VR machinery?
- A11 Alcon

#### Q12 How many VR machines do you have and what is the age of these machines?

- A12 UHNM has one VR machine, age of machine is 7 years old
- Q13 In relation to VR machines what is your Route To Market /OJEU reference and contractual commitments including extension options?
- A13 Machines were purchased via a Capital Bid
- Q14 What type of contract do you have with your local commissioner payment by results, block contract, or an aligned incentives?
- A14 None of the above, the Trust is on an Intelligent Fixed Payment Contract for 2019/20 based on costs
- Q15 Do you currently have a managed equipment service within your NHS Trust?
- A15 No
- Q16 If yes what disease area?
- A16 Not applicable
- Q17 How many YAG lasers linked PCO (Posterior capsule Opacification) after cataracts have you undertaken between 2017-2018 and 2018-2019?
- A17 Section 12 and 14 exemptions as detailed above
- Q18 Does your NHS Trust outsource initiative list for cataracts to a 3rd party suppliers to support capacity inefficacies?
- A18 No
- Q19 If yes is this contract between the Trust and 3rd party provider or the CCG and 3rd party provider?
- A19 Not applicable







\*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

# UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.

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An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <u>http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx</u>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via <u>www.ico.org.uk</u>.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 676474.

Yours,

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Jean Lehnert Information Governance Manager



